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U.S. PRO  
jc903 U.S. PRO  
09/859661  
05/17/01

Express Mail Label  
No. EI125454835US

PATENTS  
CF/007R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REISSUE APPLICATION

Application for Reissue of  
Patent No. : 5,905,974

Issued : May 18, 1999

Patentees/  
Reissue Applicants : Stuart A. Fraser et al.

For : AUTOMATED AUCTION PROTOCOL  
PROCESSOR

Assignees : CANTOR FITZGERALD, L.P. and  
CFPH, L.L.C.

New York, New York 10020  
May 17, 2001

Box REISSUE  
Hon. Commissioner  
for Patents  
Washington, D.C. 20231

LETTER OF TRANSMITTAL FOR REISSUE APPLICATION

Sir:

Submitted herewith for filing is an Application  
for Reissue of United States Patent No. 5,905,974, issued  
May 18, 1999. This Reissue Application consists of the  
following papers, all of which are enclosed:

1. Specification, claims, and abstract (on cover  
sheet of issued patent) as required by 37 C.F.R.  
§ 1.173(a)(1);
2. Drawings (12 sheets) as required by 37 C.F.R.  
§ 1.173(a)(2);
3. Reissue Declaration, executed by the  
assignees, as required by 37 C.F.R. §§ 1.172 and 1.175;

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4. Offer Under 37 C.F.R. § 1.178 to Surrender  
Original U.S. Letters Patent No. 5,905,974;
5. Certificate Under 37 C.F.R. § 3.73(b),  
Revocation of Power of Attorney, New Power of Attorney, and  
Consent of Assignees in accordance with 37 C.F.R.  
§ 1.172(a);
6. A Preliminary Amendment in accordance with  
37 C.F.R. § 1.173; and
7. A Letter To Official Draftsperson.

The fee, after entry of the amendments indicated  
in the Preliminary Amendment, has been calculated as shown  
below:

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	REISSUE APPLICATION	ORIGINAL PATENT	EXTRA	RATE	FEE
BASIC FEE					\$ 710.00
TOTAL CLAIMS	25	-	30*	= 0 x \$ 18 = \$ .00	
INDEPENDENT CLAIMS	5	-	3**	= 2 x \$ 80 = \$ 160.00	
<input type="checkbox"/> A MULTIPLE DEPENDENT CLAIM				+ \$270 = \$ .00	
* If less than 20, insert 20					
** If Less than 3, insert 3				TOTAL	\$ <u>870.00</u>

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A check in the amount of \$870.00 is transmitted  
herewith in payment of the filing fee.

The Director is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,



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Matthew T. Byrne  
Reg. No. 40,934  
Attorney for Applicants  
and Assignees  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel: (212) 596-9000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PROVISIONAL PATENT APPLICATION

JC903 U.S. PTO  
09/859661  
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Application for Reissue of

Patent No. : 5,905,974  
Issued : May 18, 1999

Patentees/  
Reissue Applicants : Stuart A. Fraser et al.  
For : AUTOMATED AUCTION PROTOCOL PROCESSOR  
Assignees : CANTOR FITZGERALD, L.P. and  
CFPH, L.L.C.

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Hon. Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EI125454835US  
Date of Deposit: May 17, 2001

I hereby certify that this certification and the  
following papers and fees:

1. Letter of Transmittal for Reissue Application (in duplicate);
2. Copy of U.S. Patent No. 5,905,974;
3. Reissue Declaration;
4. Offer to Surrender Original Letter Patent Under 37 C.F.R. § 1.178;
5. Certificate Under 37 C.F.R. § 3.73(b), Revocation of Power of Attorney, New Power of Attorney, and Consent of Assignee under 37 C.F.R. § 1.172(a) to Reissue Application;
6. Preliminary Amendment with Figures; and
7. Letter to Official Draftsperson with Figures;

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Box REISSUE, Commissioner for Patents, Washington, D.C. 20231.

Name: CHARLES J. SIMONE

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